

1. Stop Limit Order

- ◆ A tool to minimize potential losses via selecting “Stop Limit” for “Order Type”.
- ◆ Applicable to SELL orders only combining the features of stop order and a limit order.
- ◆ Once the Stop Price is reached, the stop limit order becomes a limit order to sell at the specified price.
- ◆ Can be placed outside market trading hours and supports **DAY, GTC and GTD** validity.
- ◆ If order is rejected: for GTC and GTD validity, any rejected order(s) are automatically carried forward to the next day. However, for DAY validity, rejected order(s) are not carried forward.

1.1 Submit Stop Limit Order

1. Go to “Orders” → “Place Order”

- Click on “Sell” bullet
- Enter quantity
- Select “Stop Limit”. Upon selecting Stop Limit, the Stop Price input field would be displayed.
- Select “Day” or “GTD” or “GTC”
- Enter Sell Price

Note:

- Limit Price must be less than Stop Price and less than Last Done Price. Example:
 - Assuming that ABC Inc. is trading at \$2.00 and an investor wants to sell the stock if it moves against him.
 - The investor has put in a stop-limit order to sell with the stop price (trigger price) at \$1.70 and the limit price (selling price) at \$1.60. If the price of ABC Inc. moves to the \$1.70 stop price and below, the order is activated and turns into a limit order.
 - As long as the order can be filled above \$1.60 (the limit price), then the trade will be filled.
 - If the stock gaps below \$1.60, the order will not be filled.
- Once triggered, any unmatched quantity is expired at close of day.
- Note that for GTC and GTD validity:
 - Any unmatched quantity (partially or fully) will be triggered if the conditions were met.
- CANNOT reduce Stop Limit Orders. Can cancel only.
- Allowed for all markets.
- Clients to ensure that their trading accounts have sufficient stock holdings to allow orders to be submitted when the Stop Limit order's triggering conditions are met, else order triggered may be rejected due to insufficient holdings.

1.2 View Stop Limit Order Book

Since Stop Limit orders cannot be reduced, the hyperlink [Reduce](#) would not be displayed.

Type displayed as Stop-Limit

Ref	Withdraw	Reduce	Client Name	A/C No.	Trx No	Time	Stock Name	Code	Mkt	BL	Type	Validity	Price	Quantity	Qty Done	Unmatched	Status	Ref Code	Order Source	CT	Action ID	DT Code	Post Code	Detail	Settle Ccy
<input type="checkbox"/>	Withdraw		CLIENT77	7100010	-	11-05-2011 18:17:37	MAYBANK	1155	KLS	SKL	Stop-Limit	DAY	5.510	1,000	0	1,000	MO	UOB115511137895	DII	TR	1155	1155_R	Details	MYR	

For orders that are not triggered, status is MO.

Details of the Order would be in Details pop-up.

This is an order under monitoring by the system.

Account Number	7100010	Action	sell
Code	1155	Order Type	Stop Limit
Stock Name	MAYBANK	Quantity	1,000
Market	Bursa M'sia	Stop Price	5.510
Trx Number	-	Price	5.510
Order Source	DII	Validity	DAY
Submit Date/Time	11-05-2011 10:17:37	Status	Monitoring
Ref Code	UOB115511137895		

This order will be placed upon meeting the following condition:

Stock Name	Code	Market	Condition	Price	Triggered Time
1155	MAYBANK	KLS	less or equal	5.510	-

[Withdraw](#)

2. Conditional Limit Order

- ◆ A trading tool which allows an order to be executed depending on the price of another counter-.
- ◆ Applicable to BOTH BUY and SELL.

2.1 Submit Conditional Limit Order

1. Go to "Orders" → "Place Order"

Trading A/C No.	7100019	Go	Limit	Volume	15,701,700
Client Name	CLIENT 77			B'Qty	1,513,900
Stock Name	MAYBANK			Buy	8.500
Code	1155			Sell	8.510
Trade Ccy	MYR			S'Qty	1,778,000
Lot Size	100			Last	8.510

Action: Buy Sell

Order Type: Limit

Valid For: DAY

Buy Price: 8.510

This order will be placed upon meeting the following criteria:

Stock: Bursa M'sia 1155 (MAYBANK)

Price: greater or equal

Click checkbox. Conditional fields will be displayed.

Select "greater or equal" or "less or equal".

Enter condition price

Select counter code

Select "Day", "GTC" or "GTD"

Enter Price

Note:

- Uses the last traded price as the triggering condition to trigger the conditional order.
- Supports **DAY, GTC and GTD** validity.
- CANNOT reduce Conditional Orders. Can cancel only.
- Allowed for all markets.
- Can be placed outside market hours.
- Can be placed for orders across different markets.
- Clients to ensure that their trading accounts have sufficient buy limits or holdings to allow orders to be submitted when the conditional order's triggering conditions are met, else order triggered may be rejected due to insufficient trading limit or insufficient stock holdings.
- Note that at the point of order submission, if the condition specified is already met, the order would be triggered and sent out to the exchange.

2.2 View Conditional Limit Order Book

Since Stop Limit orders cannot be reduced, the hyperlink [Reduce](#) would not be displayed.

Type displayed as Condition-Limit

Client Name	A/C No.	Trx No.	Time	Stock Name	Code	Mkt	Bid	Type	Validity	Price	Quantity	Qty Done	Unmatched	Status	Ref Code	Order Source	C/F	Action	Off Code	Feed Code	Details	Settle Coy
CLIENT 77	7100019	--	11-05-2011 10:23:16	MAYBANK	1155	KLS	Buy	Cond Limit	DAY	7.910	2,000	0	2,000	MO	UOB110511137096	DM		TR	1155	1155_R	Details	10/R

For orders that are not triggered, status is MO.

Details of the Order would be in Details pop-up.

Order Details

This is an order under monitoring by the system.

Account Number	7100019	Action	Buy
Code	1155	Order Type	Cond Limit
Stock Name	MAYBANK	Quantity	2,000
Market	Bursa M'sia	Price	7.910
Trx Number	--	Validity	DAY
Order Source	DM	Status	Monitoring
Submit Date/Time	11-05-2011 10:23:16		
Ref Code	UOB110511137096		

This order will be placed upon meeting the following condition:

Stock Name	Code	Market	Condition	Price	Triggered Time
1155	MAYBANK	KLS	less or equal	8.000	--

[Close](#)

3. Good-Till-Cancelled Order (GTC)

- ◆ Order placed to remain until it is fully matched or cancelled. Order will expire after 30 days.
- ◆ Any unmatched quantity (partially or fully) will be triggered if the conditions are met.
- ◆ Applicable to BOTH BUY and SELL.
- ◆ Can be placed outside market trading hours.

3.1 Submit Good-Till-Cancelled Order (GTC)

1. Go to "Orders" → "Place Order"

The screenshot shows the 'Place Order' interface. Key elements include:

- Trading A/C No.:** 7100019
- Client Name:** CLIENT 77
- Stock Name:** MAYBANK
- Code:** 1155
- Trade Ccy:** MYR
- Lot Size:** 100
- Volume:** 14,616,900
- B/Qty:** 663,600
- Buy Price:** 8.500
- Sell Price:** 8.510
- S/Qty:** 2,353,500
- Last Price:** 8.510
- Action:** Buy (selected), Sell
- Quantity:** (x1)
- Order Type:** Limit
- Valid For:** DAY
- Buy Price:** DAY, GTC (selected), GTD

Callout boxes indicate the following actions:

- Select "Buy" or "Sell"
- Enter Quantity
- Select "GTC"
- Enter price

3.2 View Submit Good-Till-Cancelled Order (GTC)

The screenshot shows the 'Today's Orders' window. The table below displays the order details:

Set	Withdraw	Reduce	Client Name	A/C No.	Trx No.	Time	Stock Name	Code	Mkt	Buy	Type	Validity	Price	Quantity	Qty Done	Unmatched	Status	Ref Code	Order Source	C/F	Details
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Reserve CLIENT 77	7100019	-	11-05-2011 10:58:08	GAHJDA	5388	KLS	Buy	Lim	GTC	3.980	2,000	0	2,000	PO	RHB110511137097	CU		Details

A callout box points to the 'Type' column, indicating that the order type is displayed as GTC.

4. Good-Till-Date Order (GTD)

- A new validity option to be introduced.
- Applicable to BOTH BUY and SELL.
- Order lasts until it is fully matched or cancelled or up to specified date. Expiry Date cannot be more than 1 month away.
- Any unmatched quantity (partially or fully) will be triggered if the conditions were met.
- Can be placed outside market hours.

4.1 Submit Good-Till-Date Order (GTD)

1. Go to “Orders” → “Place Order”

Select “Buy” or “Sell”

Enter Quantity

Select “GTD”

Enter price

Upon selecting GTD, the Date selection field would be displayed. Enter Date.

4.2 View Submit Good-Till-Date Order (GTD)

Type displayed as GTD

Ref	Withdraw	Reduce	Client Name	ASC No.	Trx No	Time	Stock Name	Code	HH	RS	Type	Validity	Price	Quantity	Qty Done	Unmatched	Status	Ref Code	Order Source	C#	Details
1			Restu CLIENT 77	710010	--	11-05-2011 10:50:03	P&O	6009	KLS	Buy	Lim	GTD	1.250	100	0	100	EQ	RHB110428136973	DM		

Details of the Order would be in Details pop-up.

Order Details

<table style="width: 100%; border-collapse: collapse;"> <tr><td style="border: 1px solid #ccc;">Account Number</td><td style="border: 1px solid #ccc;">CLRKU111</td></tr> <tr><td style="border: 1px solid #ccc;">Code</td><td style="border: 1px solid #ccc;">6009</td></tr> <tr><td style="border: 1px solid #ccc;">Stock Name</td><td style="border: 1px solid #ccc;">P&O</td></tr> <tr><td style="border: 1px solid #ccc;">Market</td><td style="border: 1px solid #ccc;">Bursa M'sia</td></tr> <tr><td style="border: 1px solid #ccc;">Trx Number</td><td style="border: 1px solid #ccc;">--</td></tr> <tr><td style="border: 1px solid #ccc;">Order Source</td><td style="border: 1px solid #ccc;">DM</td></tr> <tr><td style="border: 1px solid #ccc;">Submit Date/Time</td><td style="border: 1px solid #ccc;">28-04-2011 14:30:27</td></tr> <tr><td style="border: 1px solid #ccc;">Ref Code</td><td style="border: 1px solid #ccc;">RHB110428136973</td></tr> </table>	Account Number	CLRKU111	Code	6009	Stock Name	P&O	Market	Bursa M'sia	Trx Number	--	Order Source	DM	Submit Date/Time	28-04-2011 14:30:27	Ref Code	RHB110428136973	<table style="width: 100%; border-collapse: collapse;"> <tr><td style="border: 1px solid #ccc;">Action</td><td style="border: 1px solid #ccc;">Buy</td></tr> <tr><td style="border: 1px solid #ccc;">Order Type</td><td style="border: 1px solid #ccc;">Limit</td></tr> <tr><td style="border: 1px solid #ccc;">Quantity</td><td style="border: 1px solid #ccc;">500</td></tr> <tr><td style="border: 1px solid #ccc;">Unmatched Quantity</td><td style="border: 1px solid #ccc;">500</td></tr> <tr><td style="border: 1px solid #ccc;">Matched Quantity</td><td style="border: 1px solid #ccc;">0</td></tr> <tr><td style="border: 1px solid #ccc;">Price</td><td style="border: 1px solid #ccc;">1.250</td></tr> <tr><td style="border: 1px solid #ccc;">Validity</td><td style="border: 1px solid #ccc;">GTD (02-05-2011)</td></tr> <tr><td style="border: 1px solid #ccc;">Status</td><td style="border: 1px solid #ccc;">Expired</td></tr> </table>	Action	Buy	Order Type	Limit	Quantity	500	Unmatched Quantity	500	Matched Quantity	0	Price	1.250	Validity	GTD (02-05-2011)	Status	Expired
Account Number	CLRKU111																																
Code	6009																																
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[Close](#)

Displayed validity date